

# An UNet-based Brain Tumor Segmentation Framework via Optimal Mass Transportation Pre-processing



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## Problems:

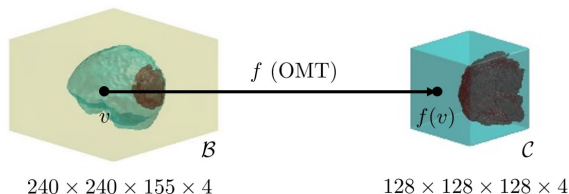
- Due to the large input size for training, we only use small batch sizes.
- Enhancing tumor (ET) is so small and dispersed that models are difficult to detect.

## Proposed method:

- Use **OMT** to deform irregular objects into regular objects, which reduces the shape of model input and speeds up model training.
- Detect the WT roughly in phase 1 and segment the WT, TC, and ET in phase 2. The tumor's proportion can enlarge by the **density function** in phase 2.
- Generate the data augmentation by using OMT with different density function.

# Optimal Mass Transportation (OMT) Problem

## OMT Problem [2, 4]:



$$f^* = \arg \min_{f \in \text{MP}} \sum_{v \in \mathcal{V}(B)} \|v - f(v)\|_2^2 m(v), \quad (1)$$

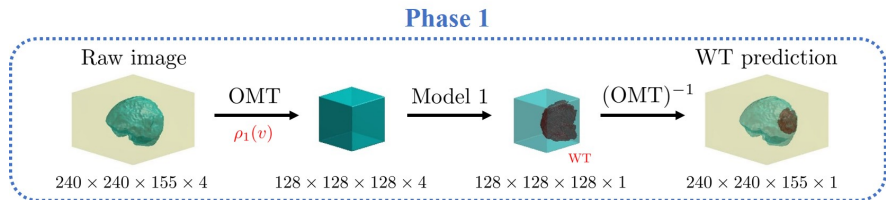
- the function space of mass-preserving map is

$$\text{MP} = \{f : B \rightarrow C \mid \rho(\tau)|\tau| = |f(\tau)|, \forall \tau \in \mathcal{T}(B)\},$$

- the local mass with the **density function** is

$$m(v) = \frac{1}{4} \sum_{\tau \in N(v)} \rho(\tau)|\tau|.$$

# Two-Phase OMT (2P-OMT) Framework: Phase 1



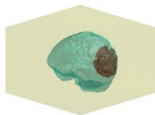
In phase 1, the density function is defined as

$$\rho_1(v) = \exp(\gamma \cdot \text{HE}(g(v))), \quad (2)$$

where  $g$  is the grayscale of flair mode, HE is the histogram equalization, and  $\gamma > 0$  is a hyper-parameter.

# Two-Phase OMT (2P-OMT) Framework: Phase 2

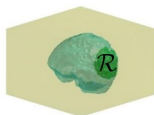
WT prediction



$240 \times 240 \times 155 \times 1$



Hight density region

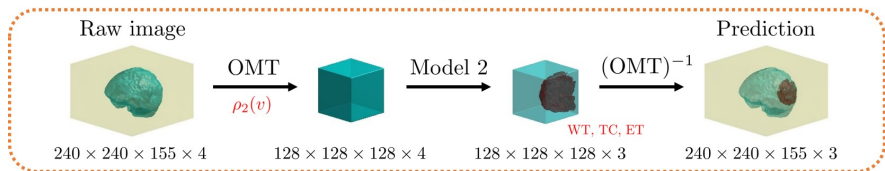


$240 \times 240 \times 155 \times 1$

In phase 2, the density function is defined as

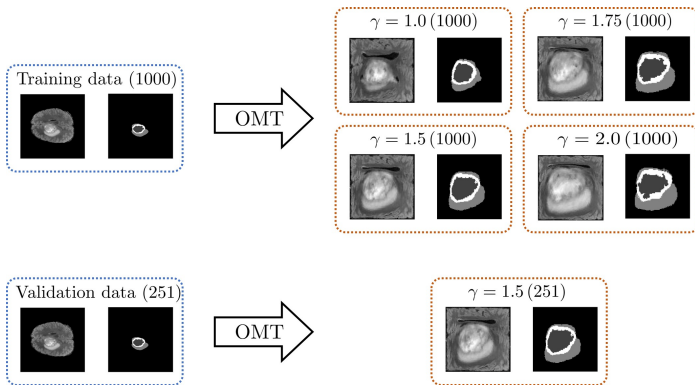
$$\rho_2(v) = \begin{cases} \exp(\gamma \cdot \text{HE}(g(v))), & \text{if } v \in \mathcal{R} \\ 1.0, & \text{if } v \in \mathcal{B} \setminus \mathcal{R} \end{cases} \quad (3)$$

## Phase 2



# Data Pre-processing and Augmentation (I)

- Split the dataset into 1000 for training and 251 for validation.
- Generate the OMT data with different densities ( $\gamma$ : 1.0, 1.5, 1.75, 2.0) and randomly choose one of the densities of OMT data for training.
- Choose the OMT data with  $\gamma = 1.5$  for validation step.



# Data Pre-processing and Augmentation (II)

$\gamma$	WT	TC	ET
Raw	6.49%	2.42%	1.45%
1.0	13.47%	5.03%	3.04%
1.5	18.27%	6.84%	4.14%
1.75	20.93%	7.84%	4.75%
2.0	23.72%	8.90%	5.40%

Table 1: Average proportion of tumor.

- Use min-max normalization to rescale the grayscale to  $[0, 1]$ .
- Use the data augmentation with following probability:

Transformation	Flip	Rotate	Add noise	Adjust brightness
Probability	0.25	0.25	0.1	0.1

Table 2: Probability of data augmentation.

# Training Processing

- Epoch: 1000
- Batch size: 12
- Model: SegResNet [3] (MONAI package)
- Loss function: Dice Loss + Focal Loss
- Optimization: Adam + Weight decay + Cosine decay

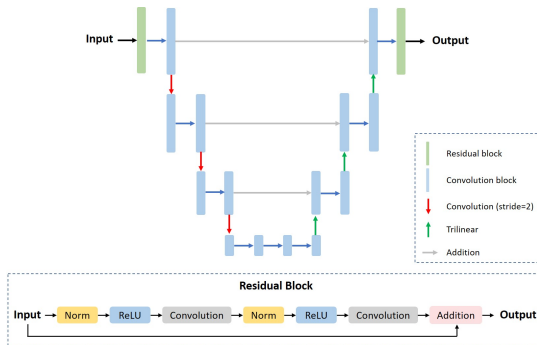
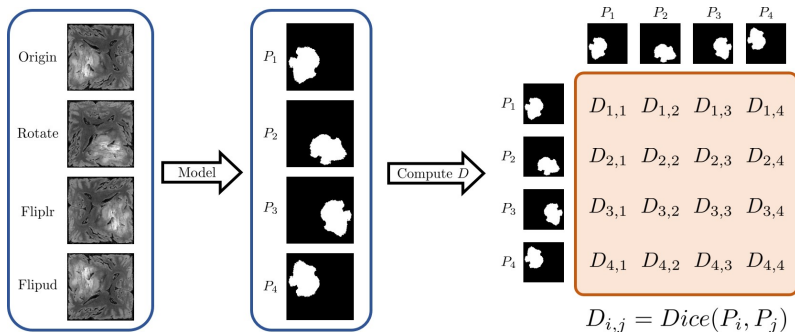


Figure 1: Architecture of SegResNet.



# Post-processing: Adaptive Ensemble



Let  $w$  be the normalized eigenvector corresponding to the spectral radius of  $D$ . Then the final prediction is

$$\hat{P} = \sum_{i=1}^4 w_i P_i.$$

# Experiment Results

Preprocessing	Cross-validation			Online validation		
	WT	TC	ET	WT	TC	ET
Random Crop	0.9340	0.9082	0.8650	0.9194	0.8542	0.8242
2P-OMT	<b>0.9371</b>	<b>0.9157</b>	<b>0.8819</b>	<b>0.9214</b>	<b>0.8823</b>	<b>0.8411</b>

Table 3: Compared to the Dice score of different preprocessing with SegResNet.

Paper [1]	Preprocessing	Model	WT	TC	ET
P. Druzhinina et al.	Random Crop	scan lite-20	0.9220	0.8680	0.8300
H.-Y. Wu et al.	Random Crop	HarDNet-BTS	0.9260	0.8793	0.8442
J. Ma et al.	Random Crop	NnUNet	0.9259	0.8786	0.8217
H. S. Singh et al.	Random Crop	Attention UNet	0.9080	0.8600	0.8170
Proposed	2P-OMT	SegResNet	0.9214	0.8823	0.8411

Table 4: Comparison results with other participants in the BraTS2021 Challenge.

- ① We developed the 2POMT framework, which significantly improved performance compared with random crop pre-processing.
- ② We can use density function to enlarge tumor proportion to help the model detect the tumor.
- ③ We can generate OMT data with different densities achieving data augmentation, which can avoid over-fitting and enhance the robustness of a model.
- ④ We proposed a novel ensemble method for post-processing, which can adaptively compute the weighted sum of predictions.

- [1] Alessandro Crimi and Spyridon Bakas. *Brainlesion: Glioma, Multiple Sclerosis, Stroke and Traumatic Brain Injuries: 7th International Workshop, BrainLes 2021 Held in Conjunction with MICCAI 2021 Virtual Event, September 27, 2021 Revised Selected Papers, Part I. 2022.*
- [2] Wen-Wei Lin et al. “A novel 2-phase residual U-net algorithm combined with optimal mass transportation for 3D brain tumor detection and segmentation”. In: *Sci. Rep.* 12 (2022), pp. 1–16.
- [3] Andriy Myronenko. “3D MRI brain tumor segmentation using autoencoder regularization”. In: *BrainLes@MICCAI. 2018.*
- [4] Mei-Heng Yueh et al. “Projected Gradient Method Combined with Homotopy Techniques for Volume-Measure-Preserving Optimal Mass Transportation Problems”. In: *J. Sci. Comput.* 88.3 (Sept. 2021). ISSN: 0885-7474.

# Thanks for listening!